



CF-46

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew C. Gilbert

Application No. : 10/042,371 Confirmation No. : 6761

Filed : December 27, 2001

For : SYSTEMS AND METHODS FOR CREATING AND  
TRADING DYNAMIC SECURITIES

Group Art Unit : 2164

Examiner : Not Yet Assigned

**RECEIVED**

FEB 04 2003

OFFICE OF PETITIONS

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

New York, New York 10020  
January 22, 2003

**RECEIVED**

JAN 31 2003

**GROUP 3600**

Sir:

Transmitted herewith:  a Preliminary Amendment;  
 a Response to Examiner's Action;  a Supplemental  
Amendment;  a Declaration;  a Power of Attorney;  a  
Submission of Executed Declaration and Power of Attorney along  
with a copy of the specification as filed;  an Associate  
Power of Attorney.

FEE FOR ADDITIONAL CLAIMS

A fee for additional claims is not required.  
 A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	47 - 29	* = 18	X \$ 18	= \$ 324.00
INDEPENDENT CLAIMS	3 - 3	** = 0	X \$ 84	= \$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$ 280	= \$ 0.00

- A check in the amount of \$324.00 in payment of the filing fee is transmitted herewith.
- Please charge \$ \_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ in payment of the filing fee.
- The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

**EXTENSION FEE**

[ ] The following extension is applicable to the Response  
filed herewith; [ ] \$110.00 extension fee for response  
within first month pursuant to 37 C.F.R. § 1.136(a);  
[ ] \$410.00 extension fee for response within second  
month pursuant to 37 C.F.R. § 1.136(a); [ ] \$930.00  
extension fee for response within third month pursuant to  
37 C.F.R. § 1.136(a); [ ] \$1450.00 extension fee for

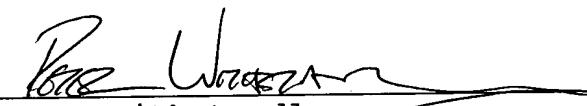
response within fourth month pursuant to 37 C.F.R. § 1.136 (a); [ ] \$1970.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).

[ ] A check in the amount of [ ] \$110.00; [ ] \$410.00; [ ] \$930.00; [ ] \$1450.00; [ ] \$1970.00; in payment of the extension fee is transmitted herewith.

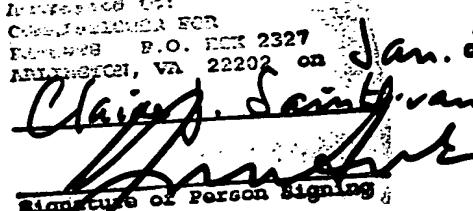
[ ] Please charge the [ ] \$110.00; [ ] \$410.00; [ ] \$930.00; [ ] \$1450.00; [ ] \$1970.00; extension fee to Deposit Account No. \_\_\_\_\_. A duplicate copy of this transmittal letter is transmitted herewith.

The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

  
Peter Withstandley  
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to:  
Commissioner for  
Patents  
P.O. Box 2327  
Alexandria, VA 22202 on

*Clair S. Smit-van Goodman*  
Jan. 22, 2003  
  
Signature of Person Signing